

14230 U.S. PTO  
07/25/03

DIVISION-CONTINUATION PROGRAM APPLICATION  
TRANSMITTAL FORM

Attorney Docket No.: 4222-A3D

Anticipated Classification  
of This Application:

Prior App.: 4222-A3

Class	Subclass	Examiner	Art Unit
_____	_____	<u>ROSE</u>	<u>2822</u>

To the Commissioner of Patents and Trademarks:

This is a request for filing a \_\_\_\_\_ continuation X divisional  
of a X utility \_\_\_\_\_ design application under 35 §§ CFR  
1.53(b) and 1.78(a) of pending prior application Serial No.  
09/907,317, filed on 17 July 2001 for THREE TERMINAL EDGE  
ILLUMINATED EPILAYER WAVEGUIDE PHOTOTRANSISTOR.

1. X Enclosed is a copy of the latest inventor signed prior  
application, including the oath or declaration as  
originally filed. I hereby verify that the attached  
papers are a true copy of the latest inventor signed  
prior application Serial No. 09/907,317 as originally  
filed on 17 July 2001, and further that all  
statements made herein of his own knowledge are true  
and that all statements made on information and belief  
are believed to be true; and further that these  
statements and the like so made are punishable by fine  
or imprisonment, or both, under section 1001 of Title  
18 of the United States Code and that such willful  
false statements may jeopardize the validity of the  
application or any patent issued thereon.

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2.        Enclosed is a supplemental declaration under 37 CFR  
1.67

3.   X   Small Entity Status is of record in parent case.

4.   X   Enclosed is an Updated Information Disclosure  
Statement

5.        Prepare a copy of the latest inventor signed prior  
application, Serial No.                     , filed on  
                    , 19      .

6. The filing fee is calculated below:

FOR	NUMBER FILED		NUMBER EXTRA		RATE	FEE
TOTAL CLAIMS	4 - 20	=	0	X	\$ 0=	\$ 0.00
INDEPENDENT CLAIMS	1 - 3	=	0	X	\$ 0=	0.00
BASIC FEE						\$ 375.00
TOTAL FILING FEE						\$ 375.00

7.   X   A check in the amount of \$ 375.00 is enclosed.

8.        The Commissioner is hereby authorized to charge any  
additional fees which may be required, or credit any  
overpayment to Deposit Account No.                     . A  
duplicate copy of this sheet is enclosed.

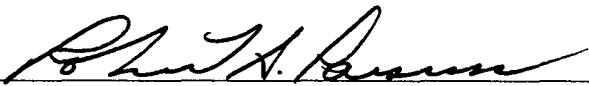
9.   X   Cancel in this application claims 1-21 of the prior  
original application before calculation the filing  
fee. (At least one original independent claim must be  
retained for filing purposes.)

10.   X   Amend the specification by inserting before the first line the sentence: This application is a \_\_\_\_\_ continuation,   X   division, of application Serial Number 09/907,317, filed 17 July 2001.
11.   X     1   sheets of formal drawings and 1 copy of same are enclosed.
12.               sheet(s) of informal drawings and 1 copy of same are enclosed.
13.        A Pre-examination Amendment is enclosed.
14.        Transfer the drawings from the pending prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in prior application file. (May only be used if signed by person authorized by Section 1.138 and before payment of base Issue Fee.)
15.        Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_, 19\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119.
- The certified copy has been filed in prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_, 19\_\_\_\_.
16.        The prior application is assigned of record to \_\_\_\_\_.
17.        An Assignment is enclosed.
18.        A Request for Non-Publication
19.        Also enclosed, \_\_\_\_\_.

20.   X   The Power of Attorney appears in the original papers  
in the prior application (copy enclosed).
21.        A Revocation and New Power of Attorney is enclosed.
22.   X   Address all future communications to: (May only be  
completed by applicant, or attorney or agent of  
record:

Robert A. Parsons  
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(602) 252-7494

25 July 2003  
(Date)

  
(Signature)

       inventor  
       filed under 1.34(a)  
       assignee of complete interest  
  X   attorney or agent of record

Applicant: SCOTT, DAVID C. ET AL. )  
 Serial No.: )  
 Filed: HERewith )  
 Title: THREE TERMINAL EDGE )  
 ILLUMINATED EPILAYER )  
 WAVEGUIDE PHOTOTRANSISTOR )

Robert A. Parsons  
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Registration No. 32,713